

22651 U.S. PTO
030804

PATENT

Docket No. OC-P7

Customer No. 26857

Commissioner for Patents
P.O. Box 1450,
Alexandria, VA 22313-1450

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):
Yung-Chieh Hsieh

17548 U.S. PTO
10/796512
030804

For (title): UNPOLARIZED BEAM SPLITTER HAVING POLARIZATION-
INDEPENDENT PHASE DIFFERENCE WHEN USED AS AN
INTERFEROMETER

1. Type of Application

☐ This new application is for an original patent.

2. ☐ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below: 60/452,647 March 7, 2003

Application Serial No.	Filing Date
<u>60/456,295</u>	<u>March 20, 2003</u>

Application Serial No.	Filing Date
<u>60/456,300</u>	<u>March 20, 2003</u>

Application Serial No.	Filing Date
<u>60/456,356</u>	<u>March 21, 2003</u>

Application Serial No.	Filing Date
<u>60/459,124</u>	<u>March 30, 2003</u>

Application Serial No.	Filing Date
------------------------	-------------

3. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

10 Pages of specification

3 Pages of claims

1 Pages of abstract

2 Sheets of drawings

☐ formal

4. Additional papers enclosed

☐ Preliminary Amendment

☐ Information Disclosure Statement

☐ Form PTO-1449

5. Declaration or oath

- ☐ Enclosed and executed by
- ☐ The inventor
 - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☒ Not Enclosed

6. Assignment

- ☒ An assignment of the invention to Optoplex Corporation
- ☐ Is enclosed
 - ☒ will follow

7. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

8. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	10	-20 =	0	x \$18 =	\$ 0.00
Independent Claims	2	-3 =	0	x \$86 =	\$ 0.00
				Multiple Claims	= \$
				Basic Filing Fee	= \$ 770.00
				Total	= \$ 770.00

9. **Small Entity Statement(s)**

- ☒ This is a filing by small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of regular filing fee) \$ 385.00

10. **Fee Payment**

- ☐ Not Enclosed
☒ Enclosed

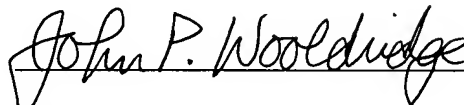
Total Basic Filing Fees To Be Paid \$ 385.00

11. **Method of Payment of Fees**

- ☐ Check in the Amount of .00
☒ Authorization is hereby \$385.00
given to deduct the total
fee from Deposit Account
501913
A duplicate of this transmittal is attached.

12. **Instructions As To Overpayment/Underpayment**

- ☒ credit/charge
Account No. 501913
☐ refund



John P. Wooldridge

ATTORNEY

Reg. No.: 38,725

Tel No.: (808) 270-1011

535 Lipoa Parkway, Suite 110

Kihei, HI 96753

Dated: March 8, 2004

John P. Wooldridge
(Signature of person mailing paper or fee)